

University of Groningen

Algebraic subgroups of $GL(2)(C)$

Nguyen, K.A.; Put, M. van der; Top, J.

Published in:
Indagationes mathematicae-New series

DOI:
[10.1016/S0019-3577\(08\)80004-3](https://doi.org/10.1016/S0019-3577(08)80004-3)

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version
Publisher's PDF, also known as Version of record

Publication date:
2008

[Link to publication in University of Groningen/UMCG research database](#)

Citation for published version (APA):
Nguyen, K. A., Put, M. V. D., & Top, J. (2008). Algebraic subgroups of $GL(2)(C)$. *Indagationes mathematicae-New series*, 19(2), 287-297. [https://doi.org/10.1016/S0019-3577\(08\)80004-3](https://doi.org/10.1016/S0019-3577(08)80004-3)

Copyright

Other than for strictly personal use, it is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), unless the work is under an open content license (like Creative Commons).

The publication may also be distributed here under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license. More information can be found on the University of Groningen website: <https://www.rug.nl/library/open-access/self-archiving-pure/taverne-amendment>.

Take-down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Downloaded from the University of Groningen/UMCG research database (Pure): <http://www.rug.nl/research/portal>. For technical reasons the number of authors shown on this cover page is limited to 10 maximum.

Algebraic subgroups of $\mathrm{GL}_2(\mathbb{C})$

by K.A. Nguyen, M. van der Put and J. Top

*Department of Mathematics, University of Groningen, P.O. Box 407, 9700 AK Groningen,
The Netherlands*

Communicated by Prof. M.S. Keane

ABSTRACT

In this note we classify, up to conjugation, all algebraic subgroups of $\mathrm{GL}_2(\mathbb{C})$.

1. INTRODUCTION

Although the classification, up to conjugation, of the algebraic subgroups of $\mathrm{SL}_2(\mathbb{C})$ ([3, Theorem 4.12], [6, Theorem 4.29]), and the classification of subgroups of GL_2 over a finite field ([1], [8, Theorem 6.17]) are well known, it seems that the determination of all algebraic subgroups of $\mathrm{GL}_2(\mathbb{C})$ is not presented well in the literature. In this paper we give this classification, including full proofs. The final result is Theorem 4. We note that \mathbb{C} can be replaced everywhere by any algebraically closed field of characteristic zero.

Notation. $\mu_n \subset \mathbb{C}^*$ denotes the n th roots of unity and ζ_n denotes a primitive n th root of unity. Let $\beta : \mathrm{GL}_2(\mathbb{C}) \rightarrow \mathrm{PGL}_2(\mathbb{C}) = \mathrm{PSL}_2(\mathbb{C})$, $\gamma : \mathrm{SL}_2(\mathbb{C}) \rightarrow \mathrm{PSL}_2(\mathbb{C})$ denote the canonical projections. For any algebraic subgroup $H \subset \mathrm{PSL}_2(\mathbb{C})$ we write $H^{\mathrm{SL}_2} = \gamma^{-1}(H) \subset \mathrm{SL}_2(\mathbb{C})$. Further

$$B := \left\{ \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \mid a \in \mathbb{C}^*, b \in \mathbb{C} \right\}$$

E-mails: k.a.nguyen@math.rug.nl (K. Nguyen), mvdput@math.rug.nl (M. van der Put), j.top@math.rug.nl (J. Top).

and

$$D_\infty := \left\{ \begin{pmatrix} c & 0 \\ 0 & c^{-1} \end{pmatrix} \mid c \in \mathbb{C}^* \right\} \cup \left\{ \begin{pmatrix} 0 & -d \\ d^{-1} & 0 \end{pmatrix} \mid d \in \mathbb{C}^* \right\}$$

are the Borel subgroup and the infinite dihedral subgroup of $\mathrm{SL}_2(\mathbb{C})$.

We first recall the classification of all algebraic subgroups of $\mathrm{PGL}_2(\mathbb{C})$.

Theorem 1. *Let H be an algebraic subgroup of $\mathrm{PGL}_2(\mathbb{C})$. Then, up to conjugation, one of the following cases occurs:*

- (1) $H = \mathrm{PGL}_2(\mathbb{C})$;
- (2) H is a subgroup of the group $\gamma(B)$;
- (3) $H = \gamma(D_\infty)$;
- (4) $H = D_n$ (the dihedral group of order $2n$), A_4 (the tetrahedral group), S_4 (the octahedral group), or A_5 (the icosahedral group).

The above theorem reduces the problem to describing the algebraic groups in $\mathrm{GL}_2(\mathbb{C})$ mapping to a given subgroup $G \subset \mathrm{PGL}_2(\mathbb{C})$. Each example is therefore a central extension of G and corresponds to an element in $H^2(G, \mu)$, where μ is either \mathbb{C}^* or a finite cyclic subgroup of \mathbb{C}^* . The first case defines the Schur multiplier of G . In the interesting cases, μ is a finite group and the Schur multiplier does not provide information because the canonical map $H^2(G, \mu) \rightarrow H^2(G, \mathbb{C}^*)$ is not injective (see also Remark 3).

We note that Theorem 1 is a corollary of the following two well-known theorems.

Theorem 2 (Klein [4]). *A finite subgroup of $\mathrm{PGL}_2(\mathbb{C})$ is isomorphic to one of the following polyhedral groups:*

- a cyclic group C_n ;
- a dihedral group D_n of order $2n$, $n \geq 2$;
- the tetrahedral group A_4 of order 12;
- the octahedral group S_4 of order 24;
- the icosahedral group A_5 of order 60.

Up to conjugation, all of these groups occur as subgroups of $\mathrm{PGL}_2(\mathbb{C})$ exactly once.

In Theorem 1, the cyclic groups C_n happen to be subgroups of $\gamma(B)$.

Theorem 3 ([3, Theorem 4.12]; [6, Theorem 4.29]). *Suppose that G is an algebraic subgroup of $\mathrm{SL}_2(\mathbb{C})$. Then, up to conjugation, one of the following cases occurs:*

- (1) $G = \mathrm{SL}_2(\mathbb{C})$;
- (2) G is a subgroup of the Borel group B ;

- (3) G is not contained in the Borel group B and is a subgroup of the infinite dihedral group D_∞ ;
 (4) G is one of the groups $A_4^{\text{SL}_2}$, $S_4^{\text{SL}_2}$, $A_5^{\text{SL}_2}$.

2. ALGEBRAIC SUBGROUPS OF $\text{GL}_2(\mathbb{C})$

Given a group $H \subset \text{PGL}_2(\mathbb{C})$ as in Theorem 1, we will determine *all* algebraic subgroups $G \subset \text{GL}_2(\mathbb{C})$ such that $\beta(G) = H$. We first observe that there is only one maximal group with this property, namely $H_{\max} := \beta^{-1}(H)$. Any G with $\beta(G) = H$ satisfies $\mathbb{C}^* \cdot G = \mathbb{C}^* \cdot H^{\text{SL}_2} = H_{\max}$.

By the Noetherian property, G contains a *minimal algebraic subgroup with image H* . We will denote any such minimal subgroup by H_{\min} . Any G with $\beta(G) = H$ has the form $\mu_k \cdot H_{\min}$ or $\mathbb{C}^* \cdot H_{\min} = H_{\max}$. Our problem now remains to determine *all minimal groups* H_{\min} (up to conjugation). We will proceed case by case based on Theorem 1.

2.1. $H = \text{PGL}_2(\mathbb{C})$

Proposition 1. *For $H = \text{PGL}_2(\mathbb{C})$ the only minimal group is $\text{SL}_2(\mathbb{C})$.*

Proof. Clearly $H_{\max} = \text{GL}_2(\mathbb{C})$. Let G be a minimal group with $\beta(G) = \text{PGL}_2(\mathbb{C})$. The latter group is equal to its commutator subgroup and therefore $\beta([G, G]) = H$. Since G is minimal, one has $G = [G, G]$ and $G \subset \text{SL}_2(\mathbb{C})$. By Theorem 3, G cannot be a proper subgroup of $\text{SL}_2(\mathbb{C})$. \square

2.2. H is a subgroup of the group $\gamma(B)$

Then $H = \gamma(F)$ for some algebraic subgroup F of $B \subset \text{SL}_2(\mathbb{C})$. The algebraic subgroups of the Borel group $B \subset \text{SL}_2(\mathbb{C})$ are listed below:

$$\begin{aligned} B; \quad \mathbf{G}_m &= \left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \mid a \in \mathbb{C}^* \right\}; \quad \mathbf{G}_a = \left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \mid b \in \mathbb{C} \right\}; \\ F_1^k &= \left\{ \begin{pmatrix} \xi & c \\ 0 & \xi^{-1} \end{pmatrix} \mid \xi^k = 1, c \in \mathbb{C} \right\}, \quad \text{with } k \in \mathbb{Z}_{\geq 1}; \\ F_2^l &= \left\{ \begin{pmatrix} \xi & 0 \\ 0 & \xi^{-1} \end{pmatrix} \mid \xi^l = 1 \right\}, \quad \text{with } l \in \mathbb{Z}_{\geq 1}. \end{aligned}$$

We note that $\mu_l \cong F_2^l \subset \mathbf{G}_m \subset B$ and $F_1^1 = \mathbf{G}_a \subset F_1^k \subset B$.

2.2.1. $H = \gamma(B)$

Proposition 2. *For $H = \gamma(B)$ the minimal groups are*

$$H_{k,l} = \left\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \mid a^k c^l = 1 \right\}$$

with $k, l \in \mathbb{Z}$ satisfying $k + l \neq 0$ and $\gcd(k, l) = 1$.

Proof. Let $G \subset H_{\max} = \left\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \mid a, b, c \in \mathbb{C}, ac \neq 0 \right\}$ be minimal with $\beta(G) = H$. Then G contains an element of the form $A = \alpha \cdot \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ with $\alpha \in \mathbb{C}^*$. The unipotent component $A_u = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ of the multiplicative Jordan decomposition of A belongs to G . Then G contains the normal subgroup $N := \left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \mid b \in \mathbb{C} \right\}$ and G/N is a proper subgroup of $H_{\max}/N \cong \mathbb{G}_m \times \mathbb{G}_m$. It follows that $G = \left\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \mid a^k c^l = 1 \right\}$ for a certain pair $(k, l) \neq (0, 0)$. This group has projective image $\gamma(B)$ precisely when $k + l \neq 0$. By minimality $\gcd(k, l) = 1$. \square

2.2.2. $H = \gamma(G_m)$

Proposition 3. *In this case, the minimal groups are*

$$\left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a^k b^l = 1 \right\}$$

with $k, l \in \mathbb{Z}$ satisfying $k + l \neq 0$ and $\gcd(k, l) = 1$.

Proof. A minimal subgroup G is a proper subgroup of $H_{\max} = \left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a, b \in \mathbb{C}^* \right\}$ with image G_m in $\mathrm{PGL}_2(\mathbb{C})$. Therefore it is of dimension one, hence it has the form $\left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a^k b^l = 1 \right\}$ for some pair of integers $(k, l) \neq (0, 0)$. This group has image G_m in $\mathrm{PGL}_2(\mathbb{C})$, if and only if $k + l \neq 0$. Since G is minimal one moreover has $\gcd(k, l) = 1$.

Remark 1. Two pairs (k, l) and (m, n) define conjugated minimal subgroups of $\mathrm{GL}_2(\mathbb{C})$ for Proposition 2 if and only if $(k, l) = \pm(m, n)$. For Proposition 3 the two pairs define conjugated groups if and only if $(k, l) \in \{\pm(m, n), \pm(n, m)\}$.

2.2.3. $H = \gamma(G_a)$

In this case, we have $H^{\mathrm{SL}_2} = \{\pm 1\} \cdot G_a$ and $H_{\max} = \mathbb{C}^* \cdot G_a$.

Proposition 4. *In this case, the only minimal group is G_a .*

Proof. Let G be minimal. Then G contains an element of the form $A = \alpha \cdot \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ with $\alpha \in \mathbb{C}^*$. The unipotent component $A_u = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ of the multiplicative Jordan decomposition of A also belongs to G and thus $G \supset \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{C} \right\} = G_a$. By minimality $G = G_a$.

2.2.4. $H = \gamma(F_1^k)$

The group H is topologically (for the Zariski topology) generated by the images of the elements $\begin{pmatrix} \zeta_k^2 & 0 \\ 0 & 1 \end{pmatrix}$ and $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ in $\mathrm{PGL}_2(\mathbb{C})$ (where ζ_k is a primitive k th root of the identity). Let G denote a minimal subgroup with $\beta(G) = H$. As before one concludes that $G \supset \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{C} \right\} = G_a$. Moreover, G is (topologically) generated by G_a and an element of the form $A := \alpha \cdot \begin{pmatrix} \zeta_k^2 & 0 \\ 0 & 1 \end{pmatrix}$ with $\alpha \in \mathbb{C}^*$. If α is not a root of unity, then the group, topologically generated by A and G_a , contains \mathbb{C}^* and is equal to H_{\max} . By the minimality of G we have that α is some primitive n th root of

unity. We define s by $s = k/2$ if k is divisible by 2 and $s = k$ otherwise. For every prime number p , not dividing s , we may consider the subgroup of G generated by A^p and \mathbf{G}_a . This group maps surjectively to H . Thus, by minimality, this group is equal to G and p does not divide the order n of α . We find that every prime divisor of n is also a prime divisor of s . Define, for any positive integer n with this property, and every primitive n th root of unity ζ_n , the group $H(\zeta_n)$ as generated by $\zeta_n \cdot \begin{pmatrix} \zeta_k^2 & 0 \\ 0 & 1 \end{pmatrix}$ and \mathbf{G}_a . This group $H(\zeta_n)$ depends on the choice of the primitive n th root of unity ζ_n . Further $\beta(H(\zeta_n)) = H$. The group $H(\zeta_n)$ is minimal since any proper subgroup of $H(\zeta_n)$, containing \mathbf{G}_a , is contained in the group generated by $(\zeta_n \cdot \begin{pmatrix} \zeta_k^2 & 0 \\ 0 & 1 \end{pmatrix})^p$ and \mathbf{G}_a , where the prime p divides s . The latter group does not map surjectively to H . Moreover we found $G \supset H(\zeta_n)$ for some n . Thus we found all minimal groups, namely the groups $H(\zeta_n)$.

Proposition 5. *For $H = \gamma(F_1^k)$ the minimal groups are the $H(\zeta_n)$, generated by $\zeta_n \cdot \begin{pmatrix} \zeta_k^2 & 0 \\ 0 & 1 \end{pmatrix}$ and $\{(\begin{smallmatrix} 1 & a \\ 0 & 1 \end{smallmatrix}) \mid a \in \mathbb{C} \} = \mathbf{G}_a$, where every prime divisor of the positive integer n divides k if k is odd and divides $k/2$ if k is even.*

Remark 2. One has $H(\zeta_n)^o = \mathbf{G}_a$ and the order of the cyclic group $H(\zeta_n)/H(\zeta_n)^o$ is the smallest common multiple of n and k (for k odd) and that of n and $k/2$ (if k is even). Moreover, if $H(\zeta_n)$ is conjugated to H_m , then $n = m$. However the converse is not true in general.

2.2.5. $H = \gamma(F_2^l)$

Similarly to Section 2.2.4 one finds the following proposition:

Proposition 6. *For $H = \gamma(F_2^l)$ the minimal groups are the cyclic groups generated by $\zeta_n \cdot \begin{pmatrix} \zeta_l^2 & 0 \\ 0 & 1 \end{pmatrix}$ where n is a positive integer such that every prime divisor of n is a prime divisor of l if l is odd or of $l/2$ if l is even.*

2.3. $H = \gamma(D_\infty)$

Let G be minimal with $\beta(G) = H$. Then G is a proper subgroup of $H_{\max} = \mathbb{C}^* \cdot D_\infty$. The component of the identity $G^o \subset G$ has the form $\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a^k b^l = 1 \}$ for some (k, l) with $\gcd(k, l) = 1$. Consider an element $B \in G$ with image (the class of) $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \in H$. Thus $B = \beta \cdot \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ for some $\beta \in \mathbb{C}^*$. From $B G^o B^{-1} = G^o$ it follows that $k = l$ and thus $G^o = \{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid ab = 1 \}$. By the minimality of G one has that $B^2 = \beta^2$ is a root of unity. The subgroup of G , generated by G^o and B^k , where k is any odd integer, is also mapped surjectively to H . The minimality of G implies that β^2 is a primitive 2^n th root of unity for some $n \geq 0$. Let H_n be the group generated by $\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid ab = 1 \}$ and $B_n := \zeta_{2^{n+1}} \cdot \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$. This group does not depend on the choice of $\zeta_{2^{n+1}}$ since one may replace B_n by any odd power of B_n . Further $G \subset H_n$ for some n . The group G must contain $\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid ab = 1 \}$ and some element $\lambda \cdot \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$. The latter element has the form $\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} (\zeta_{2^{n+1}} \cdot \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})^p$ with $ab = 1$ and $p \in \mathbb{Z}$. One concludes that $a = b = \pm 1$ and p is odd. It follows that $G = H_n$ and we conclude: $\{H_n \mid n \geq 0\}$ is the collection of the minimal groups.

2.4. $H = D_n, A_4, S_4$ or A_5

We first note that if $H \subset \text{PGL}_2(\mathbb{C})$ is a finite subgroup, then every $H_{\min} \subset \text{GL}_2(\mathbb{C})$ is also finite. Indeed, it is clear that H^{SL_2} is finite. Because $H_{\min} \subsetneq \mathbb{C}^* \cdot H^{\text{SL}_2}$, we see that H_{\min} is finite.

2.4.1. $H = D_n$

We write $D_n = \langle a, b \mid a^n = b^2 = 1, ba = a^{-1}b \rangle \subset \text{PGL}_2(\mathbb{C})$.

(i) **n odd and $n \geq 3$.** In this case, we may choose for a and b the images in $\text{PGL}_2(\mathbb{C})$ of the matrices $\begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, with ζ_n a primitive n th root of unity.

Let G be a minimal group. As G is finite and generated by preimages of $a, b \in D_n$ one has that

$$G = \left\langle A = \lambda \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, B = \mu \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\rangle$$

for certain roots of unity λ, μ . We have $A^n = \lambda^n, B^2 = \mu^2, BA = \lambda^2 A^{-1} B$. Every element of G has the form $t A^k$, or $t A^k B$, $k = 0, 1, \dots, n-1$, with $t \in \langle \lambda^2, \lambda^n, \mu^2 \rangle = \langle \lambda, \mu^2 \rangle$. Hence $G \cap \mathbb{C}^* = \langle \lambda, \mu^2 \rangle$. Since both $\lambda \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix} \in G$ and $\lambda \in G$, we can write

$$G = \left\langle A = \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, B = \mu \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\rangle.$$

The subgroup of G generated by A and B^m , where $m \geq 1$ is odd, also maps surjectively to D_n . By the minimality of G , this implies that the order of μ is 2^k for some $k \geq 0$. Now define

$$H_k := \left\langle \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, \zeta_{2^k} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\rangle,$$

for $k \geq 0$. This group H_k does not depend on the choice of the primitive 2^k th root of unity because one can replace the second generator by any odd power of itself. The groups H_k are the only candidates for minimal groups.

We now show that H_k is indeed minimal. For $k = 0, 1$, the groups

$$H_0 = \left\langle \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\rangle, \quad H_1 = \left\langle \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix} \right\rangle$$

are minimal since they have order $2n$. The two groups are conjugated by the matrix $\begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$. We note that $H_2 = D_n^{\text{SL}_2}$. For $k \geq 2$, we see that $H_k \cap \mathbb{C}^* = \langle \zeta_{2^k}^2 \rangle$. Suppose that D is a subgroup of H_k which maps surjectively to D_n , then

$$D = \left\langle \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, t \zeta_{2^k} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\rangle$$

for some $t \in \langle \zeta_{2^k}^2 \rangle$. Since the order of $t \zeta_{2^k}$ is also 2^k , one has $D = H_k$ and thus H_k is minimal. For $k \geq 1$, the order of H_k is $2^k \cdot n$. Thus two minimal groups H_k and H_l with $k, l \geq 1$ are conjugated only if $k = l$.

(ii) n even and $n > 2$. A minimal G can be written as

$$G = \left\langle A = \lambda \begin{pmatrix} \zeta_{2n} & 0 \\ 0 & \zeta_{2n}^{-1} \end{pmatrix}, B = \mu \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right\rangle,$$

for certain roots of unity λ, μ . We have

$$A^n = -\lambda^n, \quad B^2 = -\mu^2, \quad BA = \lambda^2 A^{-1} B.$$

As before, this implies that $G \cap \mathbb{C}^* = \langle \lambda^2, -\lambda^n, -\mu^2 \rangle = \langle -1, \lambda^2, \mu^2 \rangle$. One can replace A and B by $c_1 A$ and $c_2 B$ with $c_1, c_2 \in \langle -1, \lambda^2, \mu^2 \rangle$. For a good choice of c_1, c_2 , the group $\langle c_1 A, c_2 B \rangle$ will be a proper subgroup unless there exists an integer N with $\lambda, \mu \in \mu_{2^N}$. Thus the latter holds by the minimality of G . Then $\langle -1, \lambda, \mu \rangle = \mu_{2^{m+1}}$ for some $m \geq 0$.

For $m = 0$, we have $G \cap \mathbb{C}^* = \mu_2$ and this leads to only one group, namely

$$\left\langle \begin{pmatrix} \zeta_{2n} & 0 \\ 0 & \zeta_{2n}^{-1} \end{pmatrix}, \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right\rangle = D_n^{\text{SL}_2}.$$

This group is clearly minimal. For $m \geq 1$, one has $G \cap \mathbb{C}^* = \mu_{2^m}$ and this leads to the three groups given by the table:

	λ	μ
$H_{1,m}$	$\zeta_{2^{m+1}}$	1
$H_{2,m}$	$\zeta_{2^{m+1}}$	$\zeta_{2^{m+1}}$
$H_{3,m}$	1	$\zeta_{2^{m+1}}$

They all are minimal and have order $2^m \cdot 2n$. However $H_{1,m}$ and $H_{2,m}$ are conjugated. Indeed, $\begin{pmatrix} \zeta_{2n} & 0 \\ 0 & 1 \end{pmatrix} H_{1,m} \begin{pmatrix} \zeta_{2n}^{-1} & 0 \\ 0 & 1 \end{pmatrix} = H_{2,m}$ because

$$\begin{aligned} & \begin{pmatrix} \zeta_{2n} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \begin{pmatrix} \zeta_{2n}^{-1} & 0 \\ 0 & 1 \end{pmatrix} \\ &= \zeta_{2^{m+1}}^{-2} \cdot \left[\zeta_{2^{m+1}} \begin{pmatrix} \zeta_{2n} & 0 \\ 0 & \zeta_{2n}^{-1} \end{pmatrix} \right] \cdot \left[\zeta_{2^{m+1}} \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right]. \end{aligned}$$

(iii) $n = 2$. As in (ii). In this case also $H_{1,m}$ and $H_{3,m}$ are also conjugated, namely by a matrix of the form $\begin{pmatrix} 0 & a \\ 1 & -1 \end{pmatrix}$.

2.4.2. $H = A_4$

Let $G \subset H_{\max} = \mathbb{C}^* \cdot A_4^{\text{SL}_2}$ be a minimal group. Consider $G^+ \subset \mathbb{C}^* \times A_4^{\text{SL}_2}$, the preimage of G under the obvious map $\alpha: \mathbb{C}^* \times A_4^{\text{SL}_2} \rightarrow \mathbb{C}^* \cdot A_4^{\text{SL}_2}$. We note that the kernel of α is $\{(1, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}), (-1, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix})\}$. Since $\beta(G) = A_4$, there exists for every $a \in A_4^{\text{SL}_2}$ an element $(\lambda, a) \in G^+$. Let $\mu_k := \{\lambda \in \mathbb{C}^* \mid (\lambda, 1) \in G^+\}$. Then we obtain a homomorphism $h: A_4^{\text{SL}_2} \rightarrow \mathbb{C}^*/\mu_k$ given by $h(a) = \lambda \bmod \mu_k$ if $(\lambda, a) \in G^+$.

This homomorphism factors as $A_4^{\text{SL}_2} \rightarrow C_3 \xrightarrow{h_1} \mathbb{C}^*/\mu_k$, where $C_3 = \{1, \sigma, \sigma^2\}$ is the quotient of $A_4^{\text{SL}_2}$ by its commutator subgroup. If h_1 is trivial, then G^+ contains $\{(1, a) \mid a \in A_4^{\text{SL}_2}\}$ and by minimality $G = A_4^{\text{SL}_2}$. By Theorem 3, the latter group of order 24 is minimal.

Now we suppose that h_1 is not trivial. Write $k = 3^r \ell$ with $\gcd(\ell, 3) = 1$. For any $a \in A_4^{\text{SL}_2}$ there exists an element $(\lambda, a) \in G^+$ with $\lambda^3 \in \mu_{3^r \ell}$ and λ can be multiplied by any element in $\mu_{3^r \ell}$. Thus there exist a pair $(\lambda, a) \in G^+$ with $\lambda \in \mu_{3^r+1}$.

Now $G^+ \cap (\mu_{3^r+1} \times A_4^{\text{SL}_2})$ is a subgroup of G^+ mapping surjectively to A_4 . The minimality of G implies that $\ell = 1$ and $G^+ \subset \mu_{3^r+1} \times A_4^{\text{SL}_2}$. Moreover, $\mu_{3^r} \subset G^+$ and the map $G^+ \rightarrow G$ is bijective. Then G has the form $\mu_{3^r} \cdot \{\delta(a)a \mid a \in A_4^{\text{SL}_2}\}$, where $\delta =: A_4^{\text{SL}_2} \rightarrow C_3 \xrightarrow{\delta_1} \{1, \zeta_{3^r+1}, \zeta_{3^r+1}^2\}$ for some map δ_1 which lifts the homomorphism $h_1: C_3 \rightarrow \mu_{3^r+1}/\mu_{3^r} \subset \mathbb{C}^*/\mu_{3^r}$. There are two possibilities for nontrivial homomorphism h_1 (and thus for δ_1 and δ) and we find therefore two subgroups of $\text{GL}_2(\mathbb{C})$, lying in $\mu_{3^r+1} \cdot A_4^{\text{SL}_2}$. The last group is contained in $\mu_{3^r+1} \cdot S_4^{\text{SL}_2}$. Conjugation by an element $\tau \in S_4 \setminus A_4$ induced on $C_3 = A_4/[A_4, A_4]$ the only non trivial automorphism and permutes the two possibilities for h_1 . One lifts τ to an element $\tau' \in S_4^{\text{SL}_2}$. Conjugation by τ' permutes the two possibilities for h_1 and therefore the above two groups are conjugated. It suffices to consider the group $H_r := \mu_{3^r} \cdot \{\delta(a)a \mid a \in A_4^{\text{SL}_2}\}$ with δ_1 given by $\delta_1(1) = 1$, $\delta_1(\sigma) = \zeta_{3^r+1}$, $\delta_1(\sigma^2) = \zeta_{3^r+1}^2$. The order of H_r is $3^r \cdot 24$. The group H_0 is isomorphic to $A_4^{\text{SL}_2}$, but not conjugated to $A_4^{\text{SL}_2}$. The minimality of H_0 follows from the fact that A_4 does not have a faithful two-dimensional representation.

Finally, we will show that H_r is minimal for $r \geq 1$. Suppose that D is a subgroup of H_r with $\beta(D) = A_4$. Let $\tau \in A_4^{\text{SL}_2}$ be an element of order 3. Then D contains an element $d = \lambda \delta(\tau) \tau$ for some $\lambda \in \{\pm 1\} \times \mu_{3^r}$. Now $\delta(\tau) \in \{\zeta_{3^r+1}, \zeta_{3^r+1}^2\}$ and $d^3 \in D \cap \mathbb{C}^*$ has order 3^r or $2 \cdot 3^r$. Thus D contains μ_{3^r} and it follows that $D = H_r$. Thus we found:

There are two minimal groups for A_4 with order 24 and for every $r \geq 1$ there is one minimal group of order $3^r \cdot 24$.

Remark 3. A minimal subgroup G for $H = A_4$ yields a central extension $1 \rightarrow \mu_k \rightarrow G \rightarrow A_4 \rightarrow 1$ for some k . The corresponding element ξ of $H^2(A_4, \mu_k)$ has, by the minimality of G , the property that ξ does not lie in the image of $H^2(A_4, \mu_d)$ for a proper divisor d of k . Since the order of A_4 is 12, we only have to consider the groups $H^2(A_4, \mu_{2^a 3^b})$. The minimal groups that we found above correspond to all the cases $(a, b) = (1, r)$. The central extensions with $a \neq 1$ produce, apparently, groups which do not have a faithful representation of degree two.

2.4.3. $H = S_4$

Let $G \subset H_{\max} = \mathbb{C}^* \cdot S_4^{\text{SL}_2}$ be a minimal group. Consider $G^+ \subset \mathbb{C}^* \times S_4^{\text{SL}_2}$, the preimage of G under the obvious map $\alpha: \mathbb{C}^* \times S_4^{\text{SL}_2} \rightarrow \mathbb{C}^* \cdot S_4^{\text{SL}_2}$. The kernel of α is $\{(1, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}), (-1, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix})\}$. Since $\beta(G) = S_4$, there exists for every $a \in S_4^{\text{SL}_2}$

an element $(\lambda, a) \in G^+$. Let $\mu_k := \{\lambda \in \mathbb{C}^* \mid (\lambda, 1) \in G^+\}$. Then we obtain a homomorphism $h : S_4^{\text{SL}_2} \rightarrow \mathbb{C}^*/\mu_k$ given by $h(a) = \lambda \bmod \mu_k$ if $(\lambda, a) \in G^+$. This homomorphism factors as $S_4^{\text{SL}_2} \rightarrow C_2 \xrightarrow{h_1} \mathbb{C}^*/\mu_k$, where $C_2 = \{1, \sigma\}$ is the quotient of $S_4^{\text{SL}_2}$ by its commutator subgroup. If h_1 is trivial, then G^+ contains $\{(1, a) \mid a \in S_4^{\text{SL}_2}\}$ and by minimality $G = S_4^{\text{SL}_2}$. According to Theorem 3, the latter group of order 48 is minimal.

Now we suppose that h_1 is not trivial. Write $k = 2^r \ell$ with ℓ odd. For any $a \in S_4^{\text{SL}_2}$ there exists an element $(\lambda, a) \in G^+$ with $\lambda \in \mu_{2^r+1}$. Now $G^+ \cap (\mu_{2^r+1} \times S_4^{\text{SL}_2})$ is a subgroup of G^+ mapping surjectively to S_4 . The minimality of G implies that $\ell = 1$ and $G^+ \subset \mu_{2^r+1} \times S_4^{\text{SL}_2}$. Define $\delta : S_4^{\text{SL}_2} \rightarrow C_2 \xrightarrow{\delta_1} \{1, \zeta_{2^r+1}\}$ by $\delta_1(1) = 1$ and $\delta_1(\sigma) = \zeta_{2^r+1}$. All the elements of μ_{2^r+1} have the form $\zeta_{2^r+1}^\varepsilon \cdot \lambda$ with $\varepsilon \in \{0, 1\}$ and $\lambda \in \mu_{2^r}$. From this it follows that $G^+ = \{(\delta(a)\lambda, a) \mid a \in S_4^{\text{SL}_2}, \lambda \in \mu_{2^r}\}$ and one concludes that $G = H_r := \mu_{2^r} \cdot \{(\delta(a)a \mid a \in S_4^{\text{SL}_2})\}$. The group H_r has order $2^r \cdot 48$. We note that H_0 is equal to $S_4^{\text{SL}_2}$ and is minimal.

Let $r > 0$ and let $D \subset H_r$ be a subgroup satisfying $\beta(D) = S_4$. Let $\tau \in S_4^{\text{SL}_2}$ be an element with image the permutation $(1, 2) \in S_4$. Then D contains an element of the form $d = \pm \lambda \delta(\tau) \tau$ with $\lambda \in \mu_{2^r}$. Then $d^2 = \lambda^2 \zeta_{2^r} \in D \cap \mathbb{C}^*$ has order 2^r . Thus D contains μ_{2^r} and it follows easily that $D = H_r$. Hence every H_r is minimal and we conclude that: *There is for every $r \geq 0$ a unique minimal group of order $2^r \cdot 48$.*

2.4.4. $H = A_5$

Let $G \subset \text{GL}_2(\mathbb{C})$ be a minimal for H . Since $A_5 = [A_5, A_5]$, the group $[G, G]$ also satisfies $\beta([G, G]) = H$. By minimality $G = [G, G]$ and thus $G \subset \text{SL}_2(\mathbb{C})$. This implies that $G \subset A_5^{\text{SL}_2}$. Since, by Theorem 3, the latter group is minimal, we find that $A_5^{\text{SL}_2}$ is the only minimal group.

In summary, we obtain the following result.

Theorem 4. *The list of all minimal groups, up to conjugation, for each algebraic subgroup $H \subset \text{PGL}_2(\mathbb{C})$ (see Theorem 1 and Section 2.2) is:*

- (1) $H = \text{PGL}_2(\mathbb{C})$: the only minimal group is $\text{SL}_2(\mathbb{C})$.
- (2) H is a subgroup of the group B :
 - (a) $H = \gamma(B)$: for each pair of integers (k, l) with $k + l \neq 0$ and $\gcd(k, l) = 1$ there is a minimal one, namely $\{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} \mid a^k c^l = 1 \}$.
 - (b) $H = \gamma(\mathbf{G}_m)$: for each pair of integers (k, l) with $k + l \neq 0$ and $\gcd(k, l) = 1$ there is a minimal group, namely $\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a^k b^l = 1 \}$. Further (k, l) and (l, k) define conjugated groups.
 - (c) $H = \gamma(\mathbf{G}_a)$: there is only one minimal group, namely \mathbf{G}_a .
 - (d) $H = \gamma(F_1^k)$: the minimal ones are the $H(\zeta_n)$, generated by $\zeta_n \cdot \begin{pmatrix} \zeta_n^2 & 0 \\ 0 & 1 \end{pmatrix}$ and $\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{C} \}$, where every prime divisor of the positive integer n divides k if k is odd and divides $k/2$ if k is even.

- (e) $H = \gamma(F_2^l)$: the minimal ones are the groups generated by $\zeta_n \cdot \begin{pmatrix} \zeta_l^2 & 0 \\ 0 & 1 \end{pmatrix}$, where every prime divisor of the positive integer n divides l if l is odd and divides $l/2$ if l is even.
- (3) $H = \gamma(D_\infty)$: the minimal groups are H_n with $n \geq 0$, where H_n is generated by $\{(\begin{smallmatrix} a & 0 \\ 0 & b \end{smallmatrix}) \mid ab = 1\}$ and $\zeta_{2^{n+1}} \cdot \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ with $\zeta_{2^{n+1}}$ a primitive 2^{n+1} th root of unity.
- (4) H finite:
- (a) $H = D_n$:

(i) $n \geq 3$ odd: For every $k \geq 1$, there is one minimal group

$$\left\langle \begin{pmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{pmatrix}, \zeta_{2^k} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\rangle,$$

with ζ_{2^k} a primitive 2^k th root of unity;

(ii) $n > 2$ even: For $k \geq 1$, the minimal ones $H_{1,k}, H_{2,k}, H_{3,k}$ have the form

$$\left\langle A = \lambda \begin{pmatrix} \zeta_{2^n} & 0 \\ 0 & \zeta_{2^n}^{-1} \end{pmatrix}, B = \mu \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right\rangle,$$

for certain roots of unity λ, μ which are given in the table:

	λ	μ
$H_{1,k}$	$\zeta_{2^{k+1}}$	1
$H_{2,k}$	$\zeta_{2^{k+1}}$	$\zeta_{2^{k+1}}$
$H_{3,k}$	1	$\zeta_{2^{k+1}}$

They all have order $2^k \cdot 2n$. Further $H_{1,k}$ and $H_{2,k}$ are conjugated. For $k = 0$, there is only minimal group, namely the group

$$\left\langle \begin{pmatrix} \zeta_{2^n} & 0 \\ 0 & \zeta_{2^n}^{-1} \end{pmatrix}, \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right\rangle = D_n^{\text{SL}_2} \text{ of order } 4n;$$

(iii) $n = 2$: As in (ii), but now $H_{1,k}, H_{2,k}, H_{3,k}$ are all conjugated.

- (b) $H = A_4$: there are two minimal groups of order 24. For every $n > 0$ there is one minimal group of order $3^n \cdot 24$.
- (c) $H = S_4$: For every $n \geq 0$ there is a minimal group of order $2^n \cdot 48$.
- (d) $H = A_5$: There is only minimal group, namely $A_5^{\text{SL}_2}$.

REFERENCES

- [1] Dickson L.E. – Linear Groups, with an Exposition of the Galois Field Theory, Teubner, Leipzig, 1901.
- [2] Humphreys J.E. – Linear Algebraic Groups, Springer-Verlag, New York, 1981.
- [3] Kaplansky I. – An Introduction to Differential Algebra, Hermann, Paris, 1957.
- [4] Klein F. – Lectures on the Icosahedron and the Solution of Equations of the Fifth Degree, Dover, New York, 1956, revised ed. (Translated into English by George Gavin Morrice.)

- [5] Onishchik A.L., Vinberg E.B. – Lie Groups and Algebraic Groups, Springer-Verlag, 1990.
- [6] van der Put M., Singer M.F. – Galois Theory of Linear Differential Equation, Comprehensive Studies in Mathematics, vol. 328, Springer-Verlag, Berlin, 2003.
- [7] Serre J.P. – Lie Algebras and Lie Groups, Harvard Lecture Notes, 1964 (2nd ed.: Lecture Notes in Math., vol. 1500, Springer-Verlag, Berlin–Heidelberg–New York, 1992).
- [8] Suzuki M. – Group Theory I, Comprehensive Studies in Mathematics, vol. 248, Springer-Verlag, Berlin, 1986.

(Received April 2008)